



MOWBRAY
Education Trust

Sickness Absence Policy & Procedure

November 2020

Prepared by: HR & Operations Director

Issued to: All Staff

Status: Approved

Contents

1. Introduction	3
2. Scope and purpose	3
3. Definitions	3
4. Disabilities	4
5. Sickness absence reporting procedure	4
6. Evidence of incapacity	5
7. Unauthorised absence	5
8. Sick pay	5
9. Keeping in contact during sickness absence	6
10. Medical examinations	6
11. Return-to-work interviews	6
12. Returning to work from long-term sickness absence	6
13. Sickness absence meetings procedure	7
14. Right to be accompanied at meetings	8
15. Stage 1: first sickness absence meeting	8
16. Stage 2: further sickness absence meeting(s)	9
17. Stage 3: final sickness absence meeting	9
18. Appeals	10
19. Review of policy & procedure	10

1 Introduction

This policy sets out our procedures for reporting sickness absence and for the management of sickness absence in a fair and consistent way. Sickness absence can vary from short intermittent periods of ill-health to a continuous period of long-term absence and have a number of different causes (for example, injuries, recurring conditions, or a serious illness requiring lengthy treatment).

We wish to ensure that the reasons for sickness absence are understood in each case and investigated where necessary. In addition, where needed and reasonably practicable, measures will be taken to assist those who have been absent by reason of sickness to return to work.

This policy does not form part of any employee's contract of employment and it may be amended at any time. We may also vary the procedures set out in this policy, including any time limits, as appropriate in any case.

2 Scope and purpose

This policy has been agreed in consultation with the recognised trade unions and covers all employees at all levels and grades regardless of status except those employees who are in their probationary period.

The purpose of the policy is to ensure that staff understand the expectations of the Trust in terms of attendance at work. In applying this policy we aim to ensure that attendance is managed appropriately and consistently and that employees receive relevant support.

3 Definitions

Short-term sickness absence is any absence that lasts between half and 20 days.

Long term sickness absence is any absence that lasts for a continuous period of four weeks or longer.

In the context of this policy Manager means the following:

- Head Teacher for all school based staff
- Centre Manager for all nursery based staff
- HR & Operations Director or Chief Financial Officer for all central staff
- Executive Head Teacher for all Head Teachers
- CEO for HR & Ops Director, Chief Financial Officer, Executive Head Teachers and Centre Managers
- Chair of the Board for CEO

A Manager may delegate some actions in this policy to an appropriate person. Managers may not delegate decision making for warnings or dismissal to another without consultation with the HR & Ops Director.

4 Disabilities

We are aware that sickness absence may result from a disability. At each stage of the sickness absence meetings procedure (set out in section 15 of this policy), particular consideration will be given to whether there are reasonable adjustments that could be made to the requirements of a job or other aspects of working arrangements that will provide support at work and/or assist a return to work.

If you consider that you are affected by a disability or any medical condition which affects your ability to undertake your work, you should inform your Manager or the HR team.

5 Sickness absence reporting procedure

All employees are required to follow the reporting procedure set out below. If you are taken ill or injured while at work you should follow the specific reporting arrangements for your place of work.

If you cannot attend work because you are ill or injured you should telephone the contact person at your usual place of work as early as possible and no later than 7.30am. The following details should be provided:

- The nature of your illness or injury.
- The expected length of your absence from work.
- Contact details.
- Any outstanding or urgent work that requires attention, including information in relation to student work that needs covering.

Contacting by text message or email is not acceptable.

Managers should ensure that:

- Any sickness absence that is notified to them is recorded on SIMS by the admin staff at each setting.
- Arrangements are made, where necessary, to cover work and to inform colleagues (while maintaining confidentiality).

You should expect to be contacted during your absence by your Manager or another appropriate person who will want to enquire after your health and be advised, if possible, as to your expected return date.

If you are ill or injured during a period of pre-arranged annual leave you may elect to treat the days of incapacity as sickness absence instead of annual leave. You must inform your Manager of your incapacity and its likely duration as soon as possible even if you are abroad. The usual requirements for self-certification and medical certificates in this policy will apply.

6 Evidence of incapacity

For sickness absence of up to seven calendar days you must complete a self-certification form which is available from the office in your place of work.

For absence of more than a week you must obtain a certificate from your doctor (a 'Statement of Fitness for Work') stating that you are not fit for work and the reason(s) why. This should be forwarded to your Manager as soon as possible. If your absence continues, further medical certificates must be provided to cover the whole period of absence.

If your doctor provides a certificate stating that you 'may be fit for work' you should inform your Manager immediately. We will discuss with you any additional measures that may be needed to facilitate your return to work, taking account of your doctor's advice. This may take place at a return to work interview (see section 11). If appropriate measures cannot be taken, you will remain on sick leave and we will set a date to review the situation.

Where we are concerned about the reason for absence, or frequent short-term absences, we may require a medical certificate for each absence regardless of duration. In such circumstances, we will cover any costs incurred in obtaining such medical certificates, for absences of a week or less, on production of a doctor's invoice.

Where an employee is absent immediately prior to a closure period, they will continue to be deemed as being absent for the purposes of recording sickness and statutory and/or contractual sick pay during the closure period, unless they provide a fit note indicating they are fit to return to work. The cost of fit note will be covered by the Trust if applicable.

7 Unauthorised absence

If you do not report for work and have not telephoned to explain the reason for your absence, your Manager will try to contact you, by telephone and in writing if necessary. This should not be treated as a substitute for reporting sickness absence.

Cases of unauthorised absence will be dealt with under our Disciplinary Procedure. Absence that has not been notified according to the sickness absence reporting procedure will be treated as unauthorised absence.

8 Sick pay

You should refer to your contract for details of the sick pay to which you are entitled.

If a period of sickness absence is, or appears to be, occasioned by actionable negligence, nuisance or breach of any statutory duty on the part of a third party, in respect of which damages are or may be recoverable, you must immediately notify your Manager of that fact and of any claim, compromise, settlement or judgment made or awarded in connection with it and all relevant particulars that we may reasonably

require. If we require you to do so, you must cooperate in any related legal proceedings and refund to us that part of any damages or compensation you recover that relates to lost earnings for the period of sickness absence as we may reasonably determine, less any costs you incurred in connection with the recovery of such damages or compensation, provided that the amount to be refunded to us shall not exceed the total amount we paid to you in respect of the period of sickness absence.

Failure to properly report your absence or to provide medical or self-certification could lead to your pay being suspended.

9 Keeping in contact during sickness absence

If you are absent due to sickness you should expect to be contacted from time to time by an appropriate colleague in order to discuss your wellbeing, expected length of continued absence from work and any of your work that requires attention. The frequency and method of contact will be discussed with you. Such contact is intended to provide reassurance and will be kept to a reasonable minimum.

If you have any concerns while absent on sick leave, whether about the reason for your absence or your ability to return to work, you should feel free to contact your Manager or the HR team at any time.

10 Medical examinations

We may, at any time in operating this policy, ask you to consent to a medical examination by our Occupational Health provider or a doctor nominated by us (at our expense). You will be asked to agree that any report produced in connection with any such examination may be disclosed to us and that we may discuss the contents of the report with our advisers and the relevant doctor. Failure to agree to a reasonable request may be considered under the Disciplinary Procedure.

11 Return-to-work interviews

If you have been absent on sick leave you will have a return-to-work interview with your Manager. A return-to-work interview enables us to confirm the details of your absence. It also gives you the opportunity to raise any concerns or questions you may have, and to bring any relevant matters to our attention.

Where your doctor has provided a certificate stating that you 'may be fit for work' we will usually hold a return-to-work interview to discuss any additional measures that may be needed to facilitate your return to work, taking account of your doctor's advice.

12 Returning to work from long-term sickness absence

We are committed to helping employees return to work from long-term sickness absence. As part of our sickness absence meetings procedure (see section 13), we will, where appropriate and possible, support a return to work by:

- Obtaining medical advice;

- Making reasonable adjustments to the workplace, working practices and working hours;
- Considering redeployment; and/or
- Putting in place a return to work plan.

If you are unable to return to work in the longer term, we will consider whether you are entitled to any benefits under your contract and/or any insurance schemes we operate.

13 **Sickness absence meetings procedure**

We may apply this procedure whenever we consider it necessary, including, for example, if you;

- have discussed matters at a return to work interview that require investigation;
- have been absent on three or more occasions, or for six or more days in any 12 month period (pro rata for part time employees);
- have been absent for a period of 20 days or more (pro rata for part time employees);
- have any other pattern of absence that causes concern.

The policy may still be applied where the employee persistently falls just below the trigger points and where the absence is perceived to be a problem.

Unless it is impractical to do so, we will give you five days' written notice of the date, time and place of a sickness absence meeting. We will put any concerns about your sickness absence and the basis for those concerns in writing or otherwise advise why the meeting is being called. A reasonable opportunity for you to consider this information before a meeting will be provided.

The meeting will be conducted by your Manager and may be attended by a member of the HR team. You may bring a companion with you to the meeting (see section 14).

You must take all reasonable steps to attend a meeting. Failure to do so without good reason may be treated as misconduct. If you or your companion are unable to attend at the time specified, you should immediately inform your Manager who will seek to agree an alternative time.

A meeting may be adjourned if your Manager is awaiting receipt of information, needs to gather any further information or give consideration to matters discussed at a previous meeting. You will be given a reasonable opportunity to consider any new information obtained before the meeting is reconvened.

Confirmation of any decision made at a meeting, the reasons for it, and of the right of appeal will be given to you in writing within five days of a sickness absence meeting

(unless this time scale is not practicable, in which case it will be provided as soon as is practicable).

If, at any time, your Manager considers that you have taken or are taking sickness absence when you are not unwell, they may refer matters to be dealt with under our Disciplinary Procedure.

14 Right to be accompanied at meetings

You may bring a companion to any formal meeting or appeal meeting under this procedure.

Your companion may be either a trade union representative or a work colleague. You should provide their details to your Manager conducting the meeting, in good time before it takes place.

Employees are allowed reasonable time off from duties without loss of pay to act as a companion. However, they are not obliged to act as a companion and may decline a request if they so wish.

A companion may make representations, ask questions, and sum up your position, but will not usually be allowed to answer questions on your behalf. You may confer privately with your companion at any time during a meeting.

The Trust recognises several teaching and non-teaching trades unions. Contact details can be provided by the HR Team.

15 Stage 1: first sickness absence meeting

This will follow the procedure set out in sections 13 and 14 on the arrangements for and right to be accompanied at sickness absence meetings.

The purposes of a first sickness absence meeting may include:

- Discussing the reasons for absence.
- Where you are on long-term sickness absence, determining how long the absence is likely to last.
- Where you have been absent on a number of occasions, determining the likelihood of further absences.
- Considering whether medical advice is required or, if already obtained, what that advice is.
- Considering what, if any, measures might improve your health and/or attendance.
- Determining a way forward, action that will be taken and a time-scale for review and/or a further meeting under the sickness absence procedure.
- Issuing a written warning that your employment may be at risk if your attendance does not improve or you do not return to work.

16 Stage 2: further sickness absence meeting(s)

Depending on the matters discussed at the first stage of the sickness absence procedure, a further meeting or meetings may be necessary. Arrangements for meetings under the second stage of the sickness absence procedure will follow the procedure set out in sections 13 and 14 on the arrangements for and right to be accompanied at sickness absence meetings.

The purposes of further meeting(s) may include:

1. Discussing the reasons for and impact of your ongoing absence(s).
2. Where you are on long-term sickness absence, discussing how long your absence is likely to last.
3. Where you have been absent on a number of occasions, discussing the likelihood of further absences.
4. If it has not been obtained, considering whether medical advice is required. If it has been obtained, considering the advice that has been given and whether further advice is required.
5. Considering your ability to return to/remain in your job in view both of your capabilities and the needs of the Trust and any adjustments that can reasonably be made to your job to enable you to do so.
6. Considering possible redeployment opportunities and whether any adjustments can reasonably be made to assist in redeploying you.
7. Where you are able to return from long-term sick leave, whether to your job or a redeployed job, agreeing a return to work programme.
8. If it is considered that you are unlikely to be able to return to work from long-term absence, whether there are any benefits for which you should be considered.
9. Determining a way forward, action that will be taken and a time-scale for review and/or a further meeting(s). This may, depending on steps we have already taken, include warning you that you are at risk of dismissal.
10. Issuing a final written warning that your employment may be at risk if your attendance does not improve or you do not return to work.

17 Stage 3: final sickness absence meeting

Where you have been warned that you are at risk of dismissal and improvement in your attendance has not been made, or is not sufficient, we may invite you to a meeting under the third stage of the sickness absence procedure. Arrangements for this meeting will follow the procedure set out in sections 13 and 14 on the arrangements for and right to be accompanied at sickness absence meetings.

The purposes of the meeting will be:

- To review the meetings that have taken place, the matters discussed and warnings issued.
- Where you remain on long-term sickness absence, to consider whether there have been any changes since the last meeting under stage two of the procedure, either as regards your possible return to work or opportunities for return or redeployment.
- To consider the impact that your absence has on the Trust.
- To consider any further matters that you wish to raise.
- To consider whether there is a reasonable likelihood of you returning to work or achieving the desired level of attendance in a reasonable time.
- To consider the possible termination of your employment.

Termination will normally be with full notice or payment in lieu of notice.

18 Appeals

You may appeal against the outcome of any stage of this procedure and you may bring a companion to an appeal meeting (see section 14).

An appeal should be made in writing, stating the full grounds of appeal, to your Manager within five days of the date on which the decision was sent to you.

Unless it is not practicable, you will be given five days' written notice of an appeal meeting. In cases of dismissal the appeal will be held as soon as possible. Any new matters raised in an appeal may delay an appeal meeting if further investigation is required. You will be provided with written details of any new information which comes to light before an appeal meeting. You will also be given a reasonable opportunity to consider this information before the meeting.

Where practicable, an appeal meeting will be conducted by a Manager or Panel senior to the individual who conducted the sickness absence meeting. An appeal will consider a review of the original decision and will not be a re-hearing.

Following an appeal, the original decision may be confirmed, revoked or replaced with a different decision. The final decision will be confirmed in writing, if possible within five days of the appeal meeting. There will be no further right of appeal.

The date that any dismissal takes effect will not be delayed pending the outcome of an appeal. However, if the appeal is successful, the decision to dismiss will be revoked with no loss of continuity or pay.

19 Review of policy

This policy is reviewed biennially by the Trust in consultation with the recognised trade unions. We will monitor the application and outcomes of this policy to ensure it is working effectively.

Log of Changes to Document

Date	Page	Change	Who:
March 17	Whole document	Original document	Head of HR
June 17	Cover page	Updated status to final. Updated date to June 17	Head of HR
Oct 20	Whole Document	Updated Job Titles	HR & Ops Dir
Oct 20	Section 5	Updated system used for reporting absence	HR & Ops Dir
Nov 20	Whole Document	Re-approved	Trust Board